

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)**  
(Technical Assistance Program)

**Objective:** Provides technical assistance to recipients of CDBG program funds.

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1995

**Catalog of Federal Domestic Assistance No.:** 14.227

**Legal Authority:**

**Law:** US Housing Act of 1968 as amended by Housing and Urban Development Act of 1992

**Regulation:** 24 CFR Part 92; 24 CFR 570.42; 24 CFR 583.140

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** Private consultants, State and local governments, and non-profit groups.

**Type of Program and Special Restrictions:** Aid may be used to provide technical assistance to grantees of CDBG programs.

**Action Required to Receive Aid:** Competitive application for grant assistance required.

## COMMUNITY DEVELOPMENT ENTITLEMENT GRANTS

**Objective:** Develops viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for low income individuals.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1974

**Catalog of Federal Domestic Assistance No.:** 14.218

**Legal Authority:**

**Law:** US Housing and Community Development Act of 1974

**Regulation:** 24 CFR 570

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** Counties, Cities and Towns. Must be a city with population greater than 50,000, or, urban county with population over 200,000 (excluding Entitlement Cities), or city with population less than 50,000 which is the central city in a metropolitan statistical area.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated Federal requirements. At least 70 percent of funds received during a one, two or three year period must principally benefit low and moderate income persons (less than 80 percent of median family income for area). Funds cannot be used for construction or rehabilitation of buildings for the general conduct of government or for new housing construction unless administered by an eligible sub-recipient.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Ongoing

**Formula:** Aid is based on population, extent of poverty, extent of housing overcrowding.

**Matching Requirement:** NA

**Maintenance of Effort:** NA

## CONTINUUM OF CARE HOMELESS ASSISTANCE

**Objective:** Provides a comprehensive approach to develop and implement housing and service delivery programs and helps build partnerships and coordination with states, localities, not-for-profit organizations and the federal government to help homeless individuals and families move to permanent living and self-sufficiency to the extent possible. A Continuum of Care system consists of four basic components:

1. A system of outreach and assessment for determining the needs and conditions of an individual or family who is homeless;
2. Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing finders;
3. Transitional housing with appropriate supportive services to help those homeless individuals and families who are not prepared to make the transition to permanent housing and independent living; and
4. Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families.

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** NA

**Catalog of Federal Domestic Assistance No.:** 14.235

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Amounts Appropriated and Disbursed:**

Grant funds available under the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Program for Homeless Individuals (SRO) may be used to fill gaps within a community's Continuum of Care system to help homeless people achieve self-sufficiency. Separate amounts for each of the three programs were not specified. Instead, the distribution of funds among the three programs depends on locally determined priorities and overall demand.

**Comments:** See separate program descriptions for the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Assistance for Single-Room Occupancy (SRO) Dwellings Program.

## EMERGENCY SHELTER GRANTS PROGRAM (ESG)

**Objective:** Provides States, Metropolitan Cities, Urban Counties and Territories with grants according to the formula used for Community Development Block Grants in order to renovate, rehabilitate or convert buildings for use as emergency shelters and, with limitations, provides funds for essential services for the homeless and operating costs.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1987

**Catalog of Federal Domestic Assistance No.:** 14.231

**Legal Authority:**

**Law:** US Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title IV, Subtitle B, Sections 411-417, PL 100-77 and Cranston-Gonzalez National Affordable Housing Act of 1990, PL 101-625

**Regulation:** 24 CFR 576

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments, Counties, Cities and Territories who have an approved Consolidated Plan.

**Type of Program and Special Restrictions:** Formula grants based on the CDBG grant formula. Payroll expenses for staff to operate the shelter are ineligible.

**Action Required to Receive Aid:** Non-competitive application for aid required, in the form of the Consolidated Plan.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Ongoing

**Formula:** Based on CDBG formula which uses several objective measures of community need, including poverty, population, housing overcrowding, age of housing and growth lag.

**Matching Requirement:** Grantee must match ESG funding with an equal amount from other sources.

**Maintenance of Effort:** NA

## EMPOWERMENT ZONE PROGRAM

**Objective:** Establishment of Empowerment Zones in urban areas to stimulate creation of new jobs particularly for the disadvantaged and long term unemployed and to promote revitalization of economically distressed areas.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1993

**Catalog of Federal Domestic Assistance No.:** 14.244

**Legal Authority:**

**Law:** US Omnibus Budget Reconciliation Act of 1993, Title XIII, PL 103-66

**Regulation:** 24 CFR 597

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments, Counties, Cities, Towns, and Villages

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated Federal requirements. Funds must be used in accordance with a HUD-approved strategic plan.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local. Monies are provided in advance of expenditures by the local government.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Comments:** Program provides IRS tax benefits.

## ENTERPRISE COMMUNITIES

**Objective:** Stimulates the creation of new jobs, particularly for the disadvantaged and long term unemployed and promotes revitalization of economically distressed areas.

**Administering Agency:** US Department of Housing and Urban Development (urban); US Department of Agriculture (rural)

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1993

**Catalog of Federal Domestic Assistance No.:** 14.244

**Legal Authority:**

**Law:** US Internal Revenue Code of 1986, Subchapter U; Omnibus Reconciliation Act of 1993

**Regulation:** 24 CFR 597

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State or local government nominated urban area eligible for designation based on pervasive poverty, unemployment and general distress as established in data published by Bureau of Census and Bureau of Labor Statistics.

**Type of Program and Special Restrictions:** HUD will designate up to 65 of the nominated urban areas not designated as Empowerment Zones.

**Action Required to Receive Aid:** Notice of intent to participate, strategic plan, required eligibility forms and certifications.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Ongoing

**Formula:** Aid is based on pervasive poverty, unemployment, and general distress.

**Matching Requirement:** NA

**Maintenance of Effort:** NA

## HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

**Objective:** Expands the supply of decent and affordable housing, particularly rental housing, for low and very low income people.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1990

**Catalog of Federal Domestic Assistance No.:** 14.239

**Legal Authority:**

**Law:** US National Affordable Housing Act, Title II

**Regulation:** 24 CFR 92

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments, Counties and Cities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated Federal requirements. Housing which is developed with HOME funds must serve low and very low-income families. For rental housing at least 90 percent of HOME funds must be invested in units that are occupied by families whose incomes are 60 percent of the median for the area, or less. The remaining ten percent must be invested in units occupied by families below 80 percent of median income. The rents in HOME-assisted units must be affordable to low-income families, and remain affordable for a reasonable amount of time. For Homeownership, homeowners must have incomes at or below 80 percent of the area median income. The home must be the owners' principal residence and must be valued at or below 95 percent of the median area purchase price. Resale provisions apply to first-time homebuyers to assure continued affordability.

**Action Required to Receive Aid:** Each eligible jurisdiction must submit a Consolidated Plan notifying HUD of its intent to participate in HOME and submit a program description.

**Description of Aid:**

**Flow of Funds:** Federal to State, Federal to Local.

**Type of Aid:** Ongoing

**Formula:** Aid is based on housing supply, substandard housing, cost of producing housing, poverty, number of low-income families in housing units in need of rehabilitation, fiscal incapacity for carry out housing activities without federal assistance.

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**HOUSING OPPORTUNITIES FOR PERSONS  
WITH AIDS (HOPWA)  
COMPETITIVE COMPONENT**

**Objective:** Provides states, localities and non-profit organizations with resources and incentives to devise long term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1990

**Catalog of Federal Domestic Assistance No.:** 14.241

**Legal Authority:**

**Law:** US Cranston-Gonzalez National Affordable Housing Act

**Regulation:** 24 CFR 574

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State governments that do not qualify for HOPWA formula allocations, special projects of national significance, localities outside of an Eligible Metropolitan Area (EMA), localities inside an EMA that do not have an approved Consolidated Plan, and non-profit organizations.

**Type of Program and Special Restrictions:** HUD will consider applicant capacity, need for project, appropriateness of housing and supportive services and extent of leveraged public private resources.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA



## **HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA) FORMULA COMPONENT**

**Objective:** Provides states and localities with resources and incentives to devise long-term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1990

**Catalog of Federal Domestic Assistance No.:** 14.241

**Legal Authority:**

**Law:** US Cranston-Gonzalez National Affordable Housing Act

**Regulation:** 24 CFR 574

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments and Local Governmental Units.

**Type of Program and Special Restrictions:** Grants for housing assistance and supportive services for low-income persons with AIDS and related diseases.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Ongoing

**Formula:** Based on CDBG entitlement communities within an Eligible Metropolitan Area (EMA) with: (1) a population of more than 500,000, (2) more than 1,500 cumulative cases of AIDS, and (3) an approved Consolidated Plan.

**Matching Requirement:** NA

**Maintenance of Effort:** NA

US Department of Housing and Urban Development

## LEAD-BASED PAINT HAZARD CONTROL GRANT PROGRAM

**Objective:** Reduces the exposure of young children to lead-based paint hazards in their homes.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1992

**Catalog of Federal Domestic Assistance No.:** 14.900

**Legal Authority:**

**Law:** US Housing and Community Development Act of 1992, Title X, PL 102-500 known as the Residential Lead-Based Paint Hazard Reduction Act

**Regulation:** NA

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments and Local Governments. Grantees frequently team with local community-based organizations to accomplish program activities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated Federal requirements. Homes selected for assistance under the grant program must have been constructed before 1978 and have lead-based paint hazards, which may include lead contaminated dust or soil. The housing must be private owned and occupied by or rented to low-income families.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** Minimum 10% local match. Local contributions above 10%, either cash or in-kind services, are weighed in a rating factor element.

**Maintenance of Effort:** NA

## SECTION 8 MODERATE REHABILITATION ASSISTANCE FOR SINGLE-ROOM OCCUPANCY (SRO) DWELLINGS

**Objective:** Provides rental assistance for homeless individuals in rehabilitated single-room occupancy housing. (See also Continuum of Care Homeless Assistance program.)

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1987

**Catalog of Federal Domestic Assistance No.:** 14.249

**Legal Authority:**

**Law:** US Stewart B. McKinney Homeless Assistance Act, PL 100-77 and Housing and Community Development Act of 1987, PL 100-242

**Regulation:** 24 CFR 882

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** Public Housing Agencies.

**Type of Program and Special Restrictions:** HUD funds applications from public housing agencies that best demonstrate a need for the assistance and an ability to undertake and carry out the program expeditiously. Selected public housing agencies will enter into 10-year contracts with HUD. The total cost of rehabilitation that may be compensated through contract rents may not exceed \$15,000 per unit.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Comments:** Part of Continuum of Care Homeless Assistance program.

## SECTION 108 LOAN GUARANTEE PROGRAM

**Objective:** Develop viable urban communities by providing decent housing, a suitable environment, and expanding economic opportunities, principally for low income individuals.

**Administering Agency:** US Department of Housing and Urban Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1974

**Catalog of Federal Domestic Assistance No.:** 14.248

**Legal Authority:**

**Law:** US Housing and Community Development Act of 1974

**Regulation:** 24 CFR 570

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** Entitlement public entities, nonentitlement public entities assisted in the submission of applications by States that administer the CDBG program; nonentitlement public entities in States where HUD administers the CDBG program.

**Type of Program and Special Restrictions:** Subject to mandated Federal requirements. CDBG rules and requirements apply.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local. Entitlement public entities may apply for up to five times the public entity's latest (approved) CDBG entitlement amount, minus any outstanding Section 108 commitments and/or principal balances on Section 8 loans. Nonentitlement public entities may apply for up to five times the latest (approved) CDBG amount by their States, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans for which the States have pledged their CDBG funds as security. The principal security for the loan guaranty is a pledge by the applicant public entity or State (in the case of nonentitlement public entity) of its future CDBG funds. Additional security will also be required (on a case-by-case basis) to assure repayment of the guarantee obligations.

**Type of Aid:** Ongoing

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

## SHELTER PLUS CARE (S+C)

**Objective:** Provides rental assistance in concert with supportive services funded from other Federal, State and local sources to homeless persons with disabilities. (See also Continuum of Care Homeless Assistance program.)

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1987

**Catalog of Federal Domestic Assistance No.:** 14.238

**Legal Authority:**

**Law:** US National Affordable Housing Act, PL 101-625, which amended Title IV of the Stewart B. McKinney Homeless Assistance Act by adding Subtitle F authorizing the Shelter Plus Care Program

**Regulation:** 24 CFR Subtitle A Shelter Plus Care Program Guidelines; Rule, dated February 4, 1991.

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments, Local Governmental Units and Indian Tribes.

**Type of Program and Special Restrictions:** The assistance is targeted primarily to homeless persons who are seriously mentally ill, have chronic problems with alcohol or drugs, or both, or who have AIDS and related diseases.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to Local.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** Applicants must match the aggregate amount of S+C rental assistance with supportive services, the aggregate value of which at least equals the S+C grant.

**Maintenance of Effort:** NA

**Comments:** Part of Continuum of Care Homeless Assistance program.

## SUPPORTIVE HOUSING PROGRAM (SHP)

**Objective:** Provides permanent housing assistance in developing community based, long term housing and supportive services for individuals and/or families who are handicapped and homeless or at risk of becoming homeless. Encourages the development of housing and supportive services for homeless persons that will enable them to make the transition to independent living within 24 months. (See also Continuum of Care Homeless Assistance program.)

**Administering Agency:** US Department of Housing and Urban Development, Office of Community Planning and Development

**Specific Program URL:** <http://www.hud.gov/grants/>

**NYS Object Code:** NA

**Year Established:** 1993

**Catalog of Federal Domestic Assistance No.:** 14.235

**Legal Authority:**

**Law:** US Stewart B. McKinney Homeless Assistance Act of 1987, Title IV, Subtitle C

**Regulation:** 24 CFR Part 583

**Program Contact:**

Office of Community Planning  
and Development  
US Department of Housing and  
Urban Development  
26 Federal Plaza, Room 3504  
New York, NY 10278  
(212) 264-8000  
E-Mail: NA

**Fiscal Contact:**

NA

**Eligibility:** State Governments, Local Government Units, Private Non-Profit Organizations, Indian Tribes and Public Housing Agencies.

**Type of Program and Special Restrictions:** The program is targeted to homeless persons including homeless persons with disabilities and homeless families with children.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State, Local or Non-Profit Organization.

**Type of Aid:** Project Grant - Three years (initial term)

**Formula:** NA

**Matching Requirement:** Funds provided for acquisition/rehabilitation/new construction are to be matched with funds from non-Federal sources. Recipient must show it has sources to pay the percentage of operating costs not funded by HUD.

**Maintenance of Effort:** NA

**Comments:** Part of Continuum of Care Homeless Assistance program.